

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
19 July 2001 (19.07.2001)

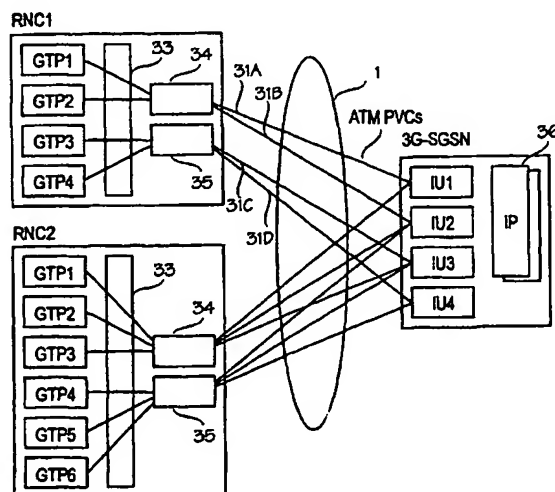
PCT

(10) International Publication Number
WO 01/52571 A1

- (51) International Patent Classification⁷: H04Q 7/22 (81) Designated States (*national*): AE, AG, AL, AM, AT, AT (utility model), AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, CZ (utility model), DE, DE (utility model), DK, DK (utility model), DM, DZ, EE, EE (utility model), ES, FI, FI (utility model), GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (utility model), SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (21) International Application Number: PCT/FI01/00025
- (22) International Filing Date: 12 January 2001 (12.01.2001)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
20000074 14 January 2000 (14.01.2000) FI (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- (71) Applicant (*for all designated States except US*): NOKIA NETWORKS OY [FI/FI]; Keilalahdentie 4, FIN-02150 Espoo (FI).
- (72) Inventor; and
- (75) Inventor/Applicant (*for US only*): JÄPPINEN, Teemu [FI/FI]; Harakantie 5B 34, FIN-02600 Espoo (FI).
- (74) Agent: KOLSTER OY AB; Iso Roobertinkatu 23, P.O. Box 148, FIN-00121 Helsinki (FI).
- Published:
— with international search report
— before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

[Continued on next page]

(54) Title: COMMUNICATIONS SYSTEM HAVING ENHANCED FAULT TOLERANCE



(57) Abstract: The invention relates to communications systems, and particularly to enhancing tolerance to failures in network elements and transmission systems. The fault tolerance is achieved by 1) providing two or more active ATM permanent virtual channel (PVC) connections (31a-31d) between a core network element (3G-SGSN) and an access network element (RNC), and 2) providing a separate ATM interface unit (IU1-IU4) for each such ATM PVC connection in the core network element (3G-SGSN). The user and signalling traffic is distributed among these active connections and interface units. In case of a failure in one of the ATM PVC connections or interface units (IU1-IU4), the communication is maintained over the other connection(s) and interface unit(s). Thus, only part of the transport capacity is lost, and a total block of communication is avoided. Any new communication will be setup over the other connections.

WO 01/52571 A1

WO 01/52571 A1



For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

This Page Blank (uspt)

Communications system having enhanced fault tolerance

Field of the Invention

The invention relates to communications systems, and particularly to enhancing tolerance to failures in network elements and transmission systems.

Background of the Invention

A mobile communications system generally refers to any telecommunications system wherein the access point (typically wireless access) to the system can change when users are moving within the service area of the system. A typical mobile communications system is a Public Land Mobile Network (PLMN). Often the mobile communications network is an access network providing a user with a wireless access to external networks, hosts, or services offered by specific service providers.

Currently, third-generation mobile communication systems, such as the Universal Mobile Communication System (UMTS) and the Future Public Land Mobile Telecommunication System (FPLMTS), which was later renamed as the International Mobile Telecommunication 2000 (IMT-2000) are under development. The UMTS is being standardized by the European Telecommunication Standards Institute (ETSI), whereas the International Telecommunication Union (ITU) standardizes the IMT-2000 system. These future systems are basically very similar. For example the UMTS, as all mobile communication systems, provides wireless data transmission services to mobile subscribers. The system supports roaming, which means that UMTS users can be reached and they can make calls anywhere as long as they are situated within the coverage area of the UMTS.

In the UMTS architecture the UMTS terrestrial radio access network, UTRAN, consists of a set of radio access networks RAN (also called radio network subsystem RNS) connected to a core network CN through the interface Iu. Each RAN is responsible for the resources of its set of cells. For each connection between a mobile station MS and the UTRAN, one RAN is a serving RAN. A RAN consists of a radio network controller RNC and a plurality of base stations BS. The RNC is responsible for the handover decisions that require signalling to the MS. The base stations are connected to the RNC through the Iub interface. The base station BS communicates with the mobile stations MS (or user equipments UE) over the radio interface Uu. The Uu and

lub interfaces are not relevant to the present invention and will not be described in more detail herein. Further information can be found in the UMTS specifications.

In the interface lu between the radio network controller RNC and
5 the core network the transfer technique is the ATM (Asynchronous Transfer Mode). The ATM transmission technique is a switching and multiplexing solution particularly relating to a data link layer (i.e. OSI layer 2, hereinafter referred to as an ATM layer. In the ATM data transmission the end users data traffic is carried from a source to a destination through virtual connections.
10 Data is transferred over switches of the network in standard-size packets called ATM cells. The ATM cell comprises a header, the main object of which is to identify a connection number for a sequence of cells forming a virtual channel (VC) for a particular call through the transport network. A physical layer (i.e. OSI layer 1) may comprise several virtual paths (VP) multiplexed in
15 the ATM layer. Each virtual path includes several VCs.

One core network which will use the UMTS radio access network is the general packet radio service (GPRS) which is a new service for the GSM system (Global System for Mobile communication), and a similar service is also being defined for the 3G mobile systems. A subnetwork comprises a
20 number of packet data service nodes SN, which in this application will be referred to as serving GPRS support nodes SGSN (or 3G-SGSNs in the 3G systems). As illustrated in Fig. 1, each 3G-SGSN is connected to the RNC in the UTRAN over a transport network so that the 3G-SGSN can provide a packet service for mobile data terminals via several base stations, i.e. cells.
25 The intermediate UTRAN provides a radio access and a packet-switched data transmission between the 3G-SGSN and mobile stations MS. Different subnetworks are, in turn, connected to an external data network, e.g. to a public switched data network PSPDN, via GPRS gateway support nodes GGSN. The GPRS service thus allows to provide packet data transmission between mobile
30 data terminals and external data networks when the UTRAN (or the GSM) network functions as a radio access network.

In order to guarantee interoperability between different vendors of networks and network elements, the present 3G specifications specify that the RNC and the 3G-SGSN are connected using point-to-point ATM permanent
35 virtual channel (PVC) connections. These signals can be carried over different transport networks, such as the ATM network or the Synchronous Digital Hier-

archy (SDH) network. The 3G specifications do not, however, specify how these ATM PVC connections are set up but allow the operators and vendors to use different solutions.

5 A problem in such a system may be fault tolerance of the ATM PVC connections. In the worst case, a failure in the PVC connections or in the interfaces at the RNC and the 3G SGSN may block all communication. The current 3GPP Iu interface specifications only specify that if redundancy of pathways of the ATM layer between the CN and the RNC is supported, it shall be implemented using ATM protection switching according to ITU-T recom-
10 mendation I.630. Since I.630 does not support 1:n and m:n architectures another backup PVC connection is required for each ATM PVC connection, which doubles the number of ATM PVCs between RNC and 3G-SGSN.

Most present ATM switches do not support ATM layer protection according I.630. This means that the use of the ATM protection between ATM
15 edge switch and RNC/3G-SGSN is not necessarily possible. Also the use of end-to-end ATM layer protection between the RNC and the 3G-SGSN is not possible, if the ATM network does not support ATM OAM according I.610 (i.e. does not generate end-to-end ATM-AIS cells in case of link failure).

Summary of the Invention

20 An object of the present invention is to provide a new mechanism for providing fault tolerance.

This and other objects and advantages of the invention are achieved by means of communications systems as recited in the attached independent claim. Preferred embodiments of the invention are described in the
25 dependent claims.

A first aspect of the invention is a communications system comprising

a core network element,
at least one access network element,
30 a transport network providing Asynchronous Transfer Mode (ATM) permanent virtual channel (PVC) connections between the core network element and said at least one access network element,
two or more ATM interface units in the core network element,
two or more active ATM PVC connections between each of said at
35 least one access network element and said core network element, different ATM PVC connections from any one of said at least one access network ele-

ment being connected to different ones of said two or more ATM interface units, and ATM traffic being spread over said different ATM PVC connections in order to improve tolerance of the communications system to failures in the ATM PVC connections or in the ATM interface units.

5 According to the invention, the fault tolerance is achieved by 1) providing two or more active ATM PVC connections between a core network element, such as an SGSN, and an access network element, such as an RNC, and 2) providing a separate ATM interface unit for each such ATM PVC connection in the core network element. As used herein, the term active connection
10 refers to a connection carrying user communication or signalling (in contrast to standby or redundant connections which are kept inactive or in reserve until the primary connection fails). The user and signalling traffic is distributed among these active connections and interface units. In case of a failure in one of the ATM PVC connections or interface units, the communication is maintained
15 over the other connection(s) and interface unit(s). Thus, only part of the transport capacity of the RNC node is lost, and a total block of communication is avoided. Any new communication will be setup over the other connections. In an embodiment of the invention, also the traffic of the lost connection is re-routed via the other connections. Thus, outside of the peak traffic periods, all
20 or major part of the traffic can be served.

 The present invention does not require redundant connections as the ATM protection switching proposed in the prior art, thus offering savings in transport network costs. Further, in the primary embodiment of the invention, no special functionality, such as a special protocol, is required. Still further, a
25 link or physical layer protection, such as the ATM or SDH protection switching, is not needed.

Brief Description of the Drawings

 The invention will be described below in greater detail in connection with preferred embodiments, with reference to the accompanying drawings, in
30 which

 Figure 1 illustrates a prior art interconnection of a radio access network and a third-generation core network over a transport network,

 Figure 2 illustrates a generic protocol stack for the lu interface,

 Figure 3 shows a communications system having two RNCs connected to the 3G-SGSN in accordance with the principles of the present invention, and
35

Figure 4 shows a more detailed internal structure of the RNC and 3G-SGSN for user data and signalling, respectively.

Preferred Embodiments of the Invention

The present invention can be applied in any telecommunication system using ATM PVC connections over a transport network for interconnecting an access network to a core network. The primary field of application of the invention is an interconnection between a third-generation mobile network, such as the UMTS, and a third generation core network, such as the 3G-GPRS. In the following, the preferred embodiments of the invention will be described by using the UMTS and the 3G-GPRS as examples.

Fig. 2 illustrates the protocol stack for signalling and user data in the Iu interface. At the bottom of the transport network layer there is an ATM adaptation layer (AAL) which enhances the service provided by the ATM layer to support functions required by higher layers. The AAL performs mapping between the ATM layer and the next higher layer. At the moment there are three different types of AAL, namely type 1 AAL (AAL1), type 2 AAL (AAL2) and type 5 AAL (AAL5). In Figure 2, the type 5 AAL (AAL5) is shown. Below the AAL layer an ATM virtual channel (VC) and a virtual path (VP) are shown. Further below there are SDH layers, such as SDH VC-4 path, SDH multiplex section, SDH Regenerator Section, etc. However, it should be appreciated that other technique may be used instead of the SDH to carry the ATM connections. In the present invention, the transport network 1 is preferably an ATM network having at least one ATM switch between the RNC and the SGSN.

Figure 3 illustrates a communication system having improved fault tolerance in accordance with the present invention. Two access network elements, such as radio network controllers RNC1 and RNC2, are connected to a core network element, such as a third generation SGSN. The 3G-SGSN is provided with several ATM interface units. In the embodiment shown in Figure 3, there are four interface units IU1, IU2, IU3 and IU4. In the RNC1 the user data traffic is distributed over several GTP units GTP1, GTP2, GTP3 and GTP4. The units GTP1 and GTP2 are connected via an ATM switch 33 to an SDH interface unit 34 and further over the ATM network 1 to different interface units IU1 and IU2 by means of dedicated ATM permanent virtual channel (PVC) connections 31A and 31B, respectively. Similarly, the units GTP3 and GTP4 are connected through the ATM switching unit 33 to an SDH interface unit 35 and further over the ATM network 1 to different interface units IU3 and

IU4 by means of dedicated ATM PVC connections 31C and 31D, respectively.

The connection between the RNC2 and the 3G-SGSN is based on the same principles. Each of the units GTP1 to GTP6 are connected over dedicated ATM-PVC connections to different interface units 1-4 in the 3G-SGSN. Thus, each of the interface units IU1-4 may be used by two or more RNCs, so that also the loss of capacity due to a failure in an interface unit in the 3G-SGSN will be distributed over several RNCs.

As a consequence, the user traffic is distributed over four active ATM PVC connections and respective interface units IU. If there is a failure in one of the PVC connections or in one of the interface units IU1-IU4, only twenty-five percent of the capacity of the 3G-SGSN is lost. However, the traffic over the remaining PVC connections and interface units will be maintained. If the remaining capacity allows, the calls from the failed connection and interface unit may be rerouted or re-established via the unaffected PVC connections and interface units.

In the GPRS system a call is established by setting up a protocol data protocol (PDP) context in the mobile station MS and in the SGSN. The PDP context defines different data transmission parameters, such as the PDP type (e.g. IP), PDP address (e.g. IP address), quality of service QoS, etc. When one of the interface units IU1-IU4 fails (or sometimes also when PVC connections 31A, 31B, 31E and 31F fail), all PDP contexts associated with the failed unit (or connection) are failed. It is possible that the failed PDP contexts are left to hang until the mobile station MS releases them upon detecting that the communication fails. It is also possible that the 3G-SGSN releases the failed PDP context by sending a release_PDP_context message to the mobile station MS in accordance with the GPRS specifications. The mobile stations MS which have lost their active PDP context can activate them again for the unaffected PVC connections and interface units IU by sending an activate_PDP_context_request to the 3G-SGSN in accordance with the GPRS specifications. In case of a failure in the ATM-PVC connection, the PDP context of the failed ATM-PVC may be moved (rerouted) to another ATM-PVC; the 3G-SGSN sends a modify RAB request (i.e. "RAB_Assignment_Request" message of RANAP the protocol) to the RNC in accordance with the GPRS specifications.

In the preferred embodiment, when the call is rerouted or re-established, it is primarily the 3G-SGSN which decides which of the remaining

connections and interface units is selected. The control function, by means of which the most suitable one of the connections is selected, is called a connection Admission Control (CAC) and will be described in more detail below.

A failure in an ATM-PVC connection in the interface unit IU1-4 can be detected by periodically sending ATM loop-back cells from the RNC over the ATM PVC connection to the respective interface unit IU in the 3G-SGSN. If the connection and the interface unit are operating properly, the loop-back cell will be looped back by the 3G-SGSN and received at the RNC within a predetermined monitoring period. If the loop-back cells are not received, the RNC considers that the respective ATM-PVC connection or the respective interface unit IU has failed. The 3G-SGSN may also be provided with an internal control system which is able to detect whether the interface units are operating properly or not.

Figure 4 illustrates a more detailed structure of the GTP units, an interface unit IU and the SDH interface unit 34. Each of the interface units IU1-4 contains for the user data the following protocol stack (from top to bottom): IP, GTP, UDP, IP, AAL5, ATM, and SDH. The topmost IP layer is connected to the higher layers (see Figure 2) via an IP switching unit 36. In the RNC, each GTP unit 1-4 contains the following protocol stack (from top to bottom): IP, GTP, UDP, IP, AAL5, and ATMVC. Each SDH interface unit 34 and 35 contains the protocol layer ATMVP, and SDH. The ATM VC layers from the GTP units are multiplexed to the ATM VPs in the SDH interface unit 34 by means of the ATM switching unit 33. The ATM switching unit 33 allows a flexible cross-connection between the GTP units and the SDH interface units.

It should be noted that the number of units shown in Figures 3-4 may vary on demand. The minimum requirement is that there is at least two ATM interface units in the 3G-SGSN.

When the mobile user requests a new connection (e.g. IP connection, UMTS network, has to decide if there is enough free capacity to accept the connection. This process is called Connection Admission Control (CAC) which is implemented in the 3G-SGSN. In an embodiment of the invention, the set up of the new IP connection contains following steps: (1) the MS sends a PDP context activation message to the 3G-SGSN, (2) the 3G-SGSN checks the IP backbone and 3G-SGSN resources, (3) 3G-SGNS selects the GTP unit and sends a RAB_assignment message the to the RNC, (4) the RNC estimates the air interface and radio network resources, (5) the RNC selects a

GTP unit and sends a RAB_assignment_complete message to the 3G-SGSN. Further, in accordance with the GPRS specifications, the PDP context is also created in a gateway support node GGSN.

In accordance with an embodiment of the invention, the CAC functionality in the 3G-SGSN carries out the following steps: (1) selects an interface unit IU during PDP context activation, (2) If needed, triggers a PDP context modification in case of a Serving RNC Relocation procedure in order to place the PDP context into an interface unit IU having enough capacity, (3) keeps an equal traffic loading in all interface units IU, (4) estimates the resources of the interface units IUs based on the existing PDP contexts, configured maximum limits for each IP traffic class and CPU loading, and (5) estimates the resources of GTP units based on the existing PDP contexts and ATM PVC QoS parameters.

The description only illustrates preferred embodiments of the invention. It is obvious that as technology advances the basic idea of the invention can be implemented in several different manners. Therefore the invention and the embodiments thereof are not restricted to the examples described above, but they may vary within the scope of the claims.

Claims

1. A communications system comprising
a core network element (3G-SGSN)
at least one access network element (RNC),
5 a transport network (1) providing Asynchronous Transfer Mode (ATM) permanent virtual channel (PVC) connections between the core network element and said at least one access network element,
characterized by
two or more ATM interface units (IU1-IU4) in the core network element (3G-SGSN),
10 two or more active ATM PVC connections (31A-31F) between each of said at least one access network element (RNC) and said core network element (3G-SGSN), different ATM PVC connections from any one of said at least one access network element (RNC) being connected to different ones of
15 said two or more ATM interface units (IU1-IU4), and an ATM traffic being spread over said different ATM PVC connections in order to improve tolerance of the communications system to failures in the ATM PVC connections or in the ATM interface units (IU1-IU4).
2. A communications system as claimed in claim 1, characterized in that said core network element (3G-SGSN) and/or said at least
20 one access network element (RNC) are/is arranged to, responsive to a failure in one of said two or more ATM PVC connections (31A-31F), route the traffic to other one or ones of said two or more ATM PVC connections.
3. A communications system as claimed in claim 1 or 2, characterized in that said core network element (3G-SGSN) and/or said at
25 least one access network element (RNC) are/is arranged to, responsive to a failure in one of said two or more ATM PVC connections (31A-31F), drop said failed connection or let the failed connection to hang until higher level system protocols release the connection.
- 30 4. A communications system as claimed in claim 3, characterized in that an end user terminal (MS) which has been communicating with said core network element (3G-SGSN) via said at least one access network element (RNC) and said failed ATM PVC connection is able to activate
new communication via other one of said two or more active ATM PVC connections (31A-31F) between said core network element and said at least one
35 access network element

5. A communications system as claimed in any one of claims 1 to 4, characterized in that said core network element (3G-SGSN) and/or said at least one access network element (RNC) is arranged to detect a failure in the ATM PVC connection by means of a predetermined link test procedure.

5 6. A communications system as claimed in claim 5, characterized in that said link test protocol includes periodical sending of ATM loop-back cells.

7. A communications system as claimed in any one of claims 1 to 6, characterized in that an end user terminal (MS) is arranged to detect a
10 failure in the ATM PVC connection based on a loss of communication.

8. A communications system as claimed in any one of claims 1 to 7, characterized in that said core network element (3G-SGSN) is arranged to select one of the interface units for establishing, rerouting or re-establishing the call based on traffic loading and capacity of the interface units.

15 9. A communications system as claimed in any one of claims 1 to 8, characterized in that said core network element (3G-SGSN) is responsive to a packet data context request from a user terminal for selecting the one of the interface units into which the packet data context is placed.

1/2

Fig. 1

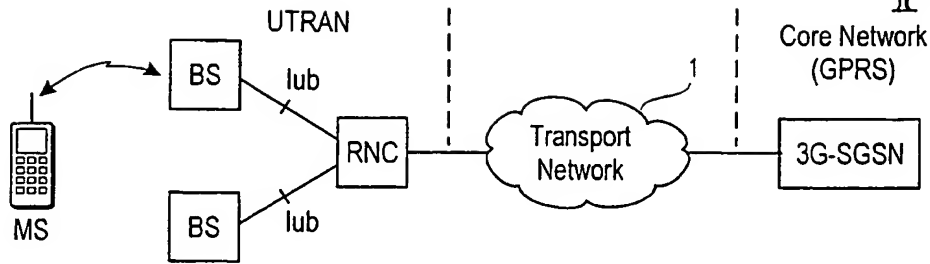


Fig. 2

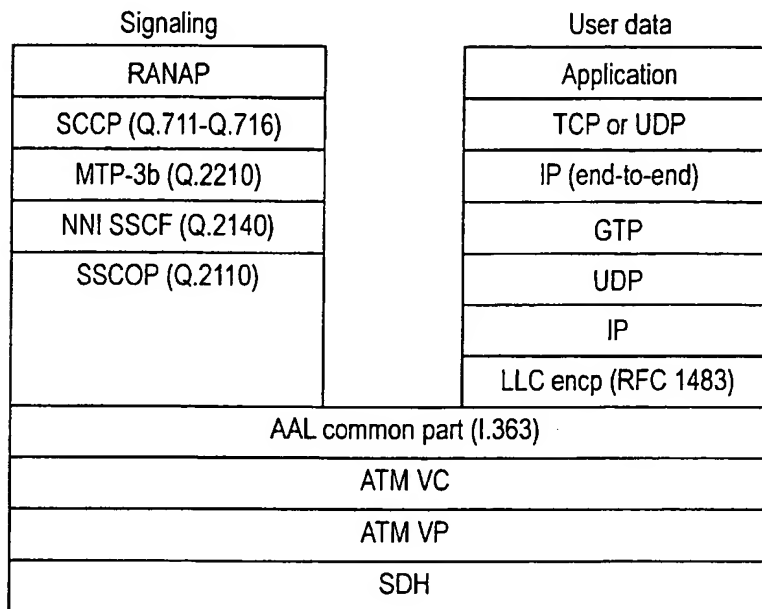
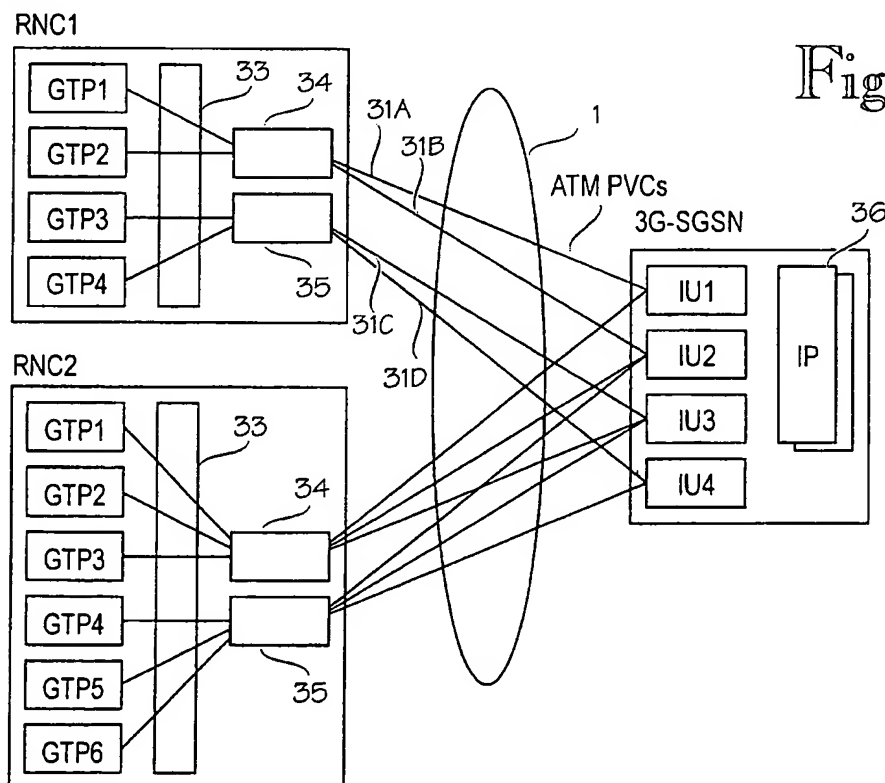
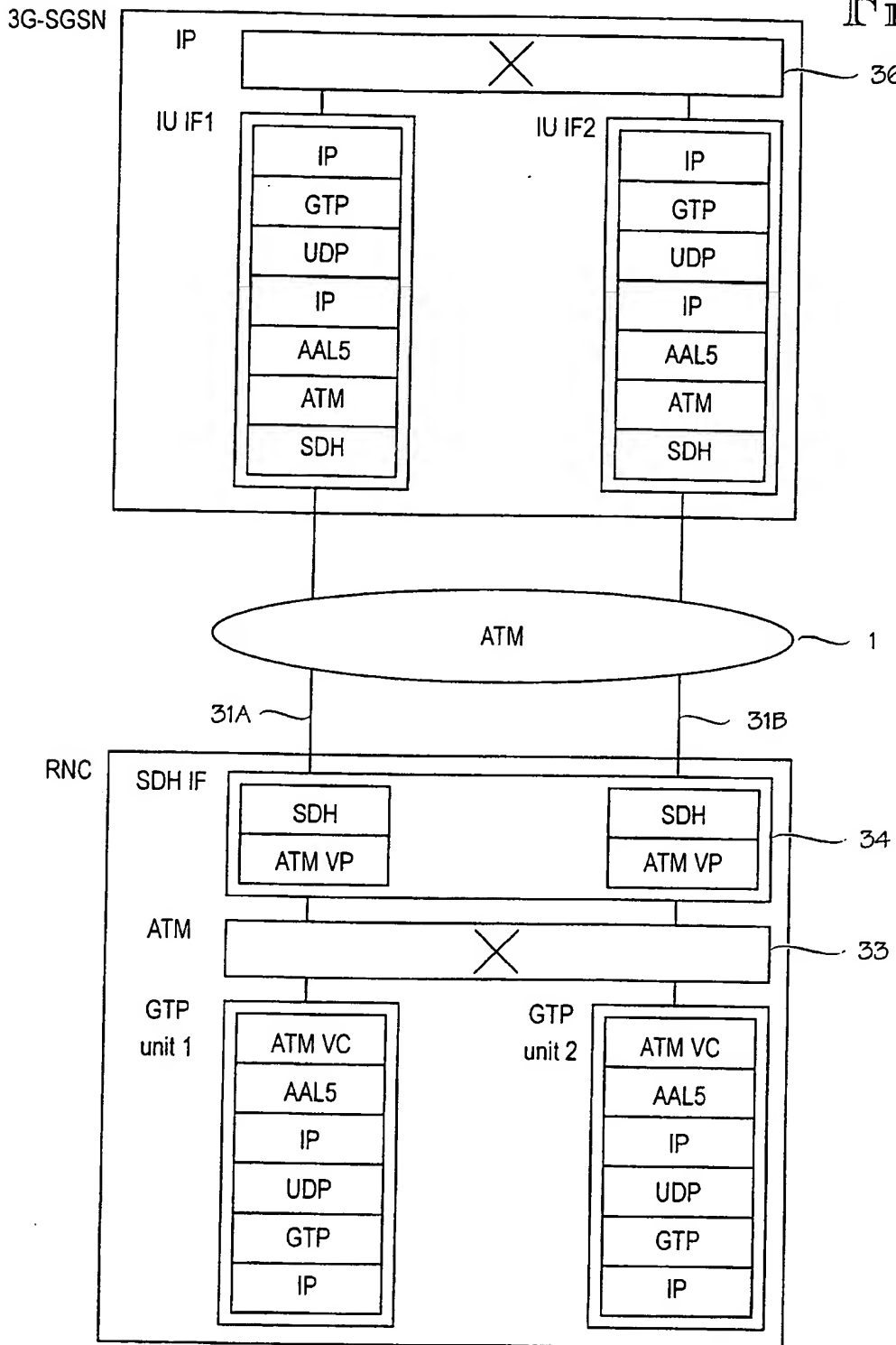


Fig. 3



2/2

Fig. 4



INTERNATIONAL SEARCH REPORT

International application No.

PCT/FI 01/00025

A. CLASSIFICATION OF SUBJECT MATTER

IPC7: H04Q 7/22

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 866634 A2 (NEC CORPORATION), 23 Sept 1998 (23.09.98), column 2, line 24 - column 3, line 32, --	1-9
A	GB 2324001 A (3COM TECHNOLOGIES), 7 October 1998 (07.10.98), page 4, line 1 - page 5, line 5 -- -----	1-9

☐ Further documents are listed in the continuation of Box C.☒ See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"I" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

13 June 2001

Date of mailing of the international search report

14 -06- 2001

Name and mailing address of the ISA/

Swedish Patent Office
Box 5055, S-102 42 STOCKHOLM
Facsimile No. +46 8 666 02 86

Authorized officer

Stefan Hansson/js
Telephone No. +46 8 782 25 00

INTERNATIONAL SEARCH-REPORT
Information on patent family members

28/05/01

International application No.
PCT/FI 01/00025

Patent document cited in search report			Publication date	Patent family member(s)	Publication date
EP	866634	A2	23/09/98	NONE	
GB	2324001	A	07/10/98	AU 2517297 A GB 9701018 D	07/11/97 00/00/00